

JC07 Rec'd PCT/PTO 08 NOV 2001

PCT

S&H Form: PTO/SB/17 (2/01)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)	Attorney Docket No.	1454.1090																						
	Application Number	09/936,203																						
	I.A. Filing Date	March 9, 2000																						
	First Named Inventor	Norbert BECKER et al.																						
	Group Art Unit																							
Title:	SYSTEM AND METHOD FOR IDENTIFYING OBJECTS IN DISTRIBUTED HIERARCHICAL SYSTEMS, IN PARTICULAR IN AUTOMATION SYSTEMS (As Amended)																							
<p>Pursuant to 37 C.F.R. § 1.497(a) and (b) and in response to the U.S. Patent and Trademark Office Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed <u>October 29, 2001</u> for the above-identified application, enclosed are the following:</p> <p><input checked="" type="checkbox"/> RETURN COPY of Notification of Missing Requirements.</p> <p><input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.</p> <p><input type="checkbox"/> Formal Drawings (__ Sheets; Figs ____)</p> <p><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages ____))</p> <p><input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>Enclosed is a payment of the following:</p> <table><tr><td><input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td><td>\$</td></tr><tr><td><input type="checkbox"/> The additional claim(s) fee (claims over 20)</td><td>\$</td></tr><tr><td><input type="checkbox"/> The additional independent claim(s) fee (claims over 3)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Multiple dependent claims</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petition for Extension of Time (__-month)</td><td>\$</td></tr><tr><td><input type="checkbox"/> English language translation fee</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td><td>\$130.00</td></tr><tr><td>SUBTOTAL FEES:</td><td>\$130.00</td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td><td>.00</td></tr><tr><td>SUBTOTAL FEES:</td><td>\$130.00</td></tr><tr><td>TOTAL FEES DUE:</td><td>\$130.00</td></tr></table>			<input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$	<input type="checkbox"/> The additional claim(s) fee (claims over 20)	\$	<input type="checkbox"/> The additional independent claim(s) fee (claims over 3)	\$	<input type="checkbox"/> Multiple dependent claims	\$	<input type="checkbox"/> Petition for Extension of Time (__-month)	\$	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	SUBTOTAL FEES:	\$130.00	<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	SUBTOTAL FEES:	\$130.00	TOTAL FEES DUE:	\$130.00
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TOTAL FEES DUE:	\$130.00																							

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Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Richard A. Gollhofer	Reg. No.	31,106
Signature	<i>Richard A. Gollhofer</i>	Date	11/8/01

Missing Parts due: 12/29/01

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

NOV 08 2001

U.S. APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/936,203

Matthias DIEZEL

1454.1090

INTERNATIONAL APPLICATION NO.

PCT/DE00/00738

I.A. FILING DATE

PRIORITY DATE

03/09/2000

03/09/1999

21171

STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

CONFIRMATION NO. 2419

371 FORMALITIES LETTER



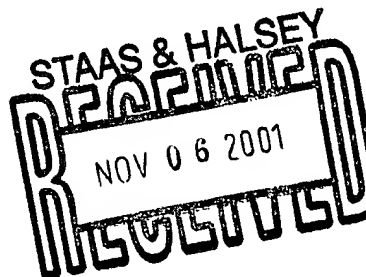
OC000000006980233

Date Mailed: 10/29/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Substitute Specification



Void date: 11/09/2001 HHOLDER1
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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

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-130.00 BP

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,203	PCT/DE00/00738	1454.1090